

## **Market Test Changes – Switch CR Notification Request/Response (Feb ‘09)**

### **DOCUMENT HISTORY**

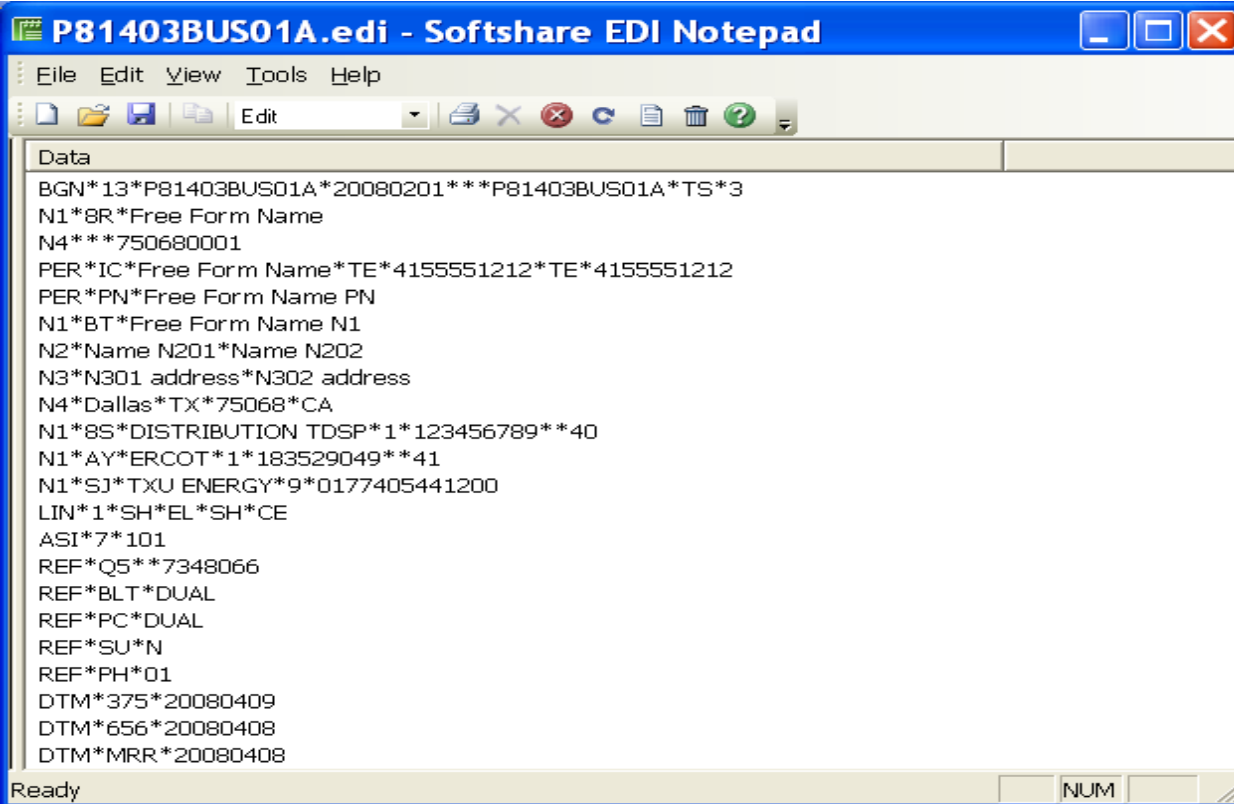
<b>Date</b>	<b>Version</b>	<b>Description</b>	<b>Author</b>
<b>02/28/2008</b>	<b>V 1.0</b>	<b>Initial Release</b>	<b>Jim Rupert</b>
<b>04/09/2008</b>	<b>V 1.1</b>	<b>Added Appendix B. Batching, Appendix C. Control Numbers</b>	<b>Lori Eubanks</b>

### 814\_03: Switch CR Notification Request

**Sequence Changes:** None, except the PTD~SU segment is first; previously it was at the end.

**ANSI Validations:** ANSI 4010 Standards followed

**EDI Sample (Test Case):**



```
Data
BGN*13*P81403BUS01A*20080201***P81403BUS01A*TS*3
N1*8R*Free Form Name
N4***750680001
PER*IC*Free Form Name*TE*4155551212*TE*4155551212
PER*PN*Free Form Name PN
N1*BT*Free Form Name N1
N2*Name N201*Name N202
N3*N301 address*N302 address
N4*Dallas*TX*75068*CA
N1*8S*DISTRIBUTION TDSP*1*123456789**40
N1*AY*ERCOT*1*183529049**41
N1*SJ*TXU ENERGY*9*0177405441200
LIN*1*SH*EL*SH*CE
ASI*7*101
REF*Q5**7348066
REF*BLT*DUAL
REF*PC*DUAL
REF*SU*N
REF*PH*01
DTM*375*20080409
DTM*656*20080408
DTM*MRR*20080408
```

Ready NUM

**TxSet Validation Changes:** Segments which include changes are highlighted in yellow and the changes are **notated in red font**. ANSI validations are **notated in gray font** or not shown if they are not needed for completeness.

EDI Field	Allowed Value(s) - existing	Allowed Value(s) - new	Pre-Condition (existing)	Pre-Condition (new)	Rejection Code (existing)	Rejection Code (new)
BGN01	'13'	No Change				'A13'
BGN06	notNull	notNull <i>Alphanumeric only - Uppercase letters (A to Z) and digits (0 to 9)</i>				'A13'
BGN07	Null or 'TS'	No Change	Optional, denotes ERCOT initiated transaction for a Mass Transition to transfer the ESI ID from CR to CR	No Change		'A13'
BGN08	'3'	No Change				N/A
N1(8R)01	'8R'	No Change				'A13'
N1(8R)02	notNull	No Change				'A13'
N4(8R)03	Only 5 or 9 digits allowed (0-9)	No Change				'A13'
PER(IC)01	'IC'	No Change	If LIN07 != 'MVO' or LIN09 != 'MVO'	No Change		'A13'
PER(IC)02	notNull	No Change				'A13'
PER(IC)03	'TE'	No Change	If PER(IC)04 is notNull	No Change		'A13'

<b>EDI Field</b>	<b>Allowed Value(s) - existing</b>	<b>Allowed Value(s) - new</b>	<b>Pre-Condition (existing)</b>	<b>Pre-Condition (new)</b>	<b>Rejection Code (existing)</b>	<b>Rejection Code (new)</b>
PER(IC)04	<i>notNull</i>	<i>No Change</i>				
PER(IC)05	'TE'	<i>No Change</i>	<i>If PER(IC)06 is notNull</i>	<i>No Change</i>		'A13'
PER(IC)06	<i>notNull</i>	<i>No Change</i>				
PER(PN)01	Null 'PN'	<i>No Change</i>	<i>Optional If LIN07 = 'MVI' Or LIN09 = 'MVI'</i>	<i>No Change</i>		'A13'
PER(PN)02	<i>notNull</i>	<i>No Change</i>		<i>If PER(PN)01 exist</i>		'A13'
N1(BT)01	'BT'	<i>No Change</i>	<i>Optional</i>	<i>Optional</i>	N/A	<i>No Change</i>
N4(BT)04	'CA', 'CH', 'DE', 'ES', 'FR', 'GB', 'IT', 'JP', 'MX', 'PR', or 'SE'	<i>No Change</i>			N/A	<i>No Change</i>
N1(8S)01	'8S'	<i>No Change</i>				'A13'
N1(8S)02	<i>notNull</i>	<i>No Change</i>				
N1(8S)03	'1' or '9'	<i>No Change</i>				'A13'
N1(8S)04	<i>stringLength equals 9</i>	<i>No Change</i>	<i>If N1(8S)03 equals '1'</i>	<i>No Change</i>		'A13'
N1(8S)04	<i>stringLength equals 13</i>	<i>No Change</i>	<i>If N1(8S)03 equals '9'</i>	<i>No Change</i>		'A13'
N1(8S)06	'40'	<i>No Change</i>				'A13'
N1(AY)01	'AY'	<i>No Change</i>				'A13'
N1(AY)02	<i>notNull</i>	<i>No Change</i>				'A13'
N1(AY)03	'1'	<i>No Change</i>				'A13'
N1(AY)04	<i>notNull</i>	<i>No Change</i>	<i>If N1(AY)03 equals</i>	<i>No Change</i>	997 NACK	<i>No Change</i>

EDI Field	Allowed Value(s) - existing	Allowed Value(s) - new	Pre-Condition (existing)	Pre-Condition (new)	Rejection Code (existing)	Rejection Code (new)
			'1'			
N1(AI)06	'41'	No Change				'A13'
N1(SJ)01	'SJ'	No Change				'A13'
N1(SJ)02	notNull	No Change				
N1(SJ)03	'1' or '9'	No Change				'A13'
N1(SJ)04	stringLength equals 9	No Change	If N1(SJ)03 equals '1'	No Change		'A13'
N1(SJ)04	stringLength equals 13	No Change	If N1(SJ)03 equals '9'	No Change		'A13'
LIN01	notNull	notNull (alphanumeric)				'A13'
LIN02	'SH'	'SH'				'A13'
LIN03	'EL'	No Change				'A13'
LIN04	'SH'	No Change				'A13'
LIN05	'CE'	No Change				'A13'
LIN06	SH'	No Change				'A13'
LIN07	'HI', 'HU', 'MVI', 'MVO', 'SW'	No Change	Cannot be the same value as LIN09	No Change		'A13'
LIN08	SH'	No Change				'A13'
LIN09	'HI', 'HU', 'MVI', 'MVO', 'SW'	No Change	Cannot be the same value as LIN07	No Change		'A13'
LIN10	SH'	No Change				'A13'
LIN11	DRP	No Change				'A13'

EDI Field	Allowed Value(s) - existing	Allowed Value(s) - new	Pre-Condition (existing)	Pre-Condition (new)	Rejection Code (existing)	Rejection Code (new)
ASI01	'7'	No Change				'A13'
ASI02	'101'	No Change				'MTI'
REF(BLT)01	'BLT'	No Change				'A13'
REF(BLT)02	'DUAL', 'ESP' or 'LDC'	No Change			'FRB'	No Change
REF(PC)01	'PC'	No Change				'A13'
REF(PC)02	'DUAL'	No Change				'A13'
REF(Q5)01	'Q5'	No Change				'A13'
REF(Q5)03	notNull	No Change	If REF(Q5)01 equals 'Q5'	No Change		
REF(SU)01	'SU'	No Change				'A13'
REF(SU)02	'N' or 'Y'	No Change	If REF(SU)01 equals 'SU'	No Change		'A13'
REF(SU)02	'N'	No Change	If BGN07 ='TS'	No Change		
REF(PH)01	'PH'	No Change		Optional		'A13'
REF(PH)02	'01', '02', '03', '04'	'01', '02', '03', '04', '05', '06', '07', '08', '09', '10' plus '11' thru '99'	If REF(PH)01 equals 'PH'	No Change		'A13'
DTM(375)01	'375'	No Change	If LIN07 = "MVI" or LIN09 = "MVI"	No Change		'A13'
DTM(375)02	Date expressed as	No Change	If DTM(375)01 equals '375'	No Change		'A13'

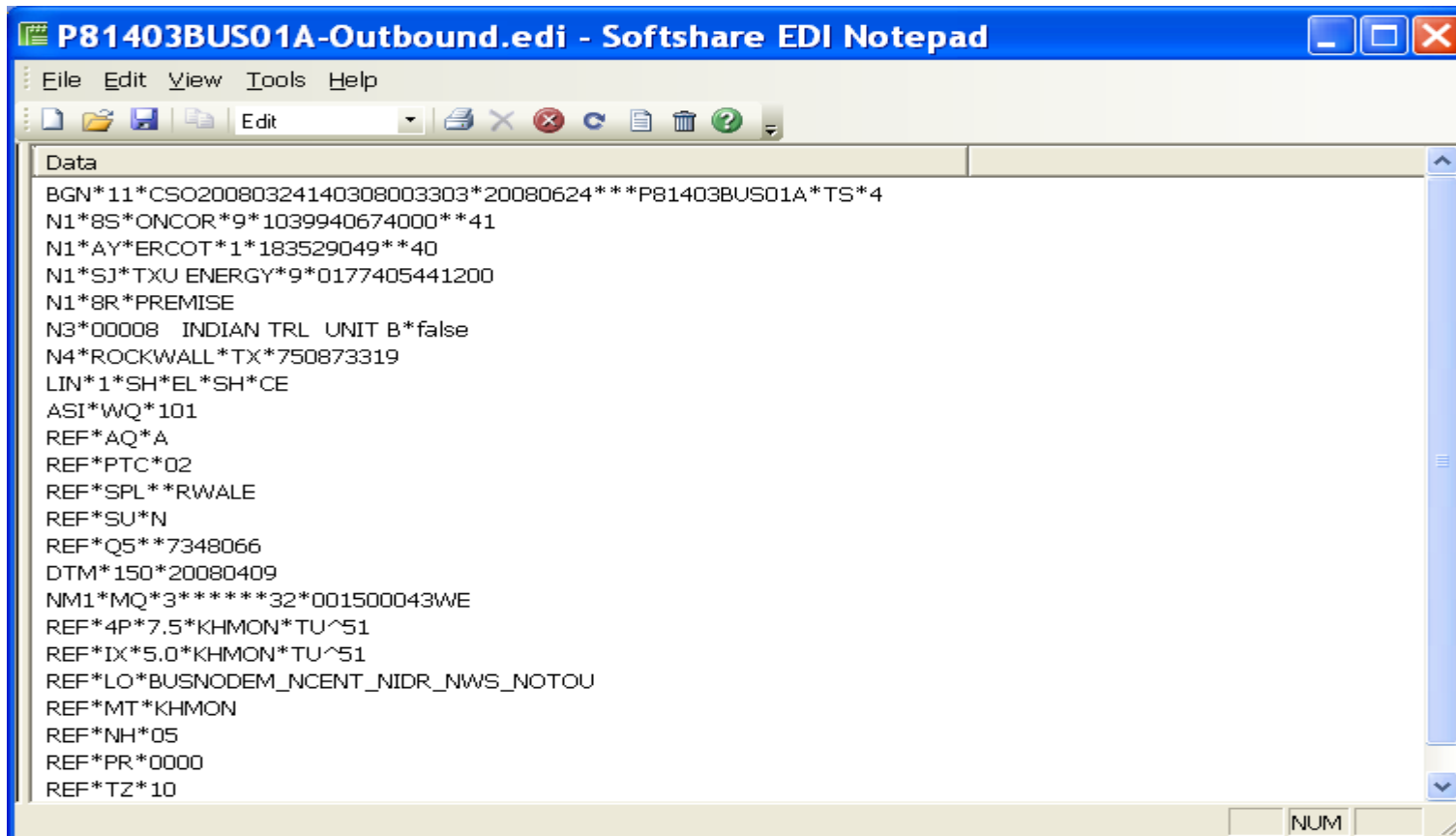
<b>EDI Field</b>	<b>Allowed Value(s) - existing</b>	<b>Allowed Value(s) - new</b>	<b>Pre-Condition (existing)</b>	<b>Pre-Condition (new)</b>	<b>Rejection Code (existing)</b>	<b>Rejection Code (new)</b>
	CCYYMMDD					
DTM(656)01	'656'	No Change				'A13'
DTM(656)02	Date expressed as CCYYMMDD	No Change	If DTM(656)01 exists	No Change		
DTM(656)02		Date equal to DTM(MRR)02	IF BGN07 = 'TS'	No Change	'A13'	No Change
DTM(MRR)01	MRR'	No Change				'A13'
DTM(MRR)02	Date expressed as CCYYMMDD	No Change	If DTM(MRR)01 exists	No Change		
DTM(MRR)02	Date equal to DTM(656)02	No Change	If BGN07 = 'TS'	No Change		

#### 814\_04: Switch CR Notification Response

**Sequence Changes:** None

**ANSI Validations:** ANSI 4010 Standards followed

**EDI Sample (Test Case):**



The screenshot shows a Notepad window with the following text:

```
Data
BGN*11*CSO20080324140308003303*20080624***P81403BUS01A*TS*4
N1*8S*ONCOR*9*1039940674000**41
N1*AY*ERCOT*1*183529049**40
N1*SJ*TXU ENERGY*9*0177405441200
N1*8R**PREMISE
N3*00008 INDIAN TRL UNIT B*false
N4*ROCKWALL*TX*750873319
LIN*1*SH*EL*SH*CE
ASI*WQ*101
REF*AQ*A
REF*PTC*02
REF*SPL**RWALE
REF*SU*N
REF*Q5**7348066
DTM*150*20080409
NM1*MQ*3*****32*001500043WE
REF*4P*7.5*KHMON*TU^51
REF*IX*5.0*KHMON*TU^51
REF*LO*BUSNODEM_NCEN_T_NIDR_NWS_NOTOU
REF*MT*KHMON
REF*NH*05
REF*PR*0000
REF*TZ*10
```

**TxSet Validation Changes:** Segments which include changes are highlighted in yellow and the changes are **notated in red font**. ANSI validations are **notated in gray font** or not shown if they are not needed for completeness.

<b>EDI Field</b>	<b>Allowed Value(s) - existing</b>	<b>Allowed Value(s) - new</b>	<b>Pre-Condition (existing)</b>	<b>Pre-Condition (new)</b>	<b>Error String (existing)</b>	<b>Error String (new)</b>
BGN01	'11'	No Change				New Format*
BGN06	notNull	No Change				New Format*
BGN07	Null or 'TS'	No Change	Required as a response to ERCOT initiated transaction for a Mass Transition to transfer the ESI ID from CR to CR	No Change		New Format*
BGN08	'4'	No Change				New Format*
N1(8R)01	'8R'	No Change	If ASI01 equals 'WQ'	No Change		New Format*
N1(8R)02	notNull	No Change	If N1(8R)01 equals '8R'	No Change		New Format*
N3(8R)01	notNull	No Change	If ASI01 equals 'WQ'	No Change		New Format*
N4(8R)01	notNull	No Change	If ASI01 equals 'WQ'	No Change		New Format*
N4(8R)02	notNull	No Change	If N4(8R)01 is notNull	No Change		New Format*
N4(8R)03	Only 5 or 9 digits allowed (0-9)	No Change	If N4(8R)01 is notNull	No Change		New Format*
N1(8S)01	'8S'	No Change				New Format*
N1(8S)02	notNull	No Change				New Format*

<b>EDI Field</b>	<b>Allowed Value(s) - existing</b>	<b>Allowed Value(s) - new</b>	<b>Pre-Condition (existing)</b>	<b>Pre-Condition (new)</b>	<b>Error String (existing)</b>	<b>Error String (new)</b>
N1(8S)03	'9'	No Change				New Format*
N1(8S)04	stringLength equals 13	No Change	If N1(8S)03 equals '9'	No Change		New Format*
N1(8S)06	'41'	No Change				New Format*
N1(AY)01	'AY'	No Change				New Format*
N1(AY)02	notNull	No Change				New Format*
N1(AY)03	'1'	No Change				New Format*
N1(AY)04	stringLength equals 9	No Change	If N1(AY)03 equals '1'	No Change		New Format*
N1(AY)06	'40'	No Change				New Format*
N1(SJ)01	'SJ'	No Change				New Format*
N1(SJ)02	notNull	No Change				New Format*
N1(SJ)03	'1' or '9'	No Change				New Format*
N1(SJ)04	stringLength equals 9	No Change	If N1(SJ)03 equals '1'	No Change		New Format*
N1(SJ)04	stringLength equals 13	No Change	If N1(SJ)03 equals '9'	No Change		New Format*
LIN01	notNull	notNull (alphanumeric)				New Format*
LIN02	'SH'	No Change				New Format*
LIN03	'EL'	No Change				New Format*
LIN04	'SH'	No Change				New Format*
LIN05	'CE'	No Change				New Format*
LIN06	'SH'	No Change				New Format*

<b>EDI Field</b>	<b>Allowed Value(s) - existing</b>	<b>Allowed Value(s) - new</b>	<b>Pre-Condition (existing)</b>	<b>Pre-Condition (new)</b>	<b>Error String (existing)</b>	<b>Error String (new)</b>
LIN07	'HI', 'HU', 'MVI', 'MVO' or 'SW' (same as 814_03)	No Change	Cannot be the same value as LIN09	No Change		New Format*
LIN08	'SH'	No Change				New Format*
LIN09	'HI', 'HU', 'MVI', 'MVO' or 'SW' (same as 814_03)	No Change	Cannot be the same value as LIN07	No Change		New Format*
LIN10	'SH'	No Change				New Format*
LIN11	'DRP'	No Change				New Format*
ASI01	'U' or 'WQ'	No Change				New Format*
ASI02	'101'	No Change				New Format*
REF(1P)01	'1P'	No Change	if ASI01 = WQ	No Change		New Format*
REF(1P)02	'A13', 'HIU', 'HUU', 'W09' or 'W11'	No Change	If REF(1P)01 equals '1P'	No Change		New Format*
REF(1P)03	notNull	No Change	If REF(1P)02 equals 'A13'	No Change		New Format*
REF(7G)01	'7G'	No Change		If ASI01 equals 'U'		New Format*
REF(7G)02	'008', '017', 'A13', 'A76', 'A83', 'ABN', 'ACI', 'ANK', 'API', 'BIM', 'D76', 'FRB', 'IBO', 'IMI', 'MTI', 'RNE',	No Change	If REF(7G)01 equals '7G'	No Change		New Format*

<b>EDI Field</b>	<b>Allowed Value(s) - existing</b>	<b>Allowed Value(s) - new</b>	<b>Pre-Condition (existing)</b>	<b>Pre-Condition (new)</b>	<b>Error String (existing)</b>	<b>Error String (new)</b>
	'SBD', 'SCP' or 'SNP'					
REF(7G)03	notNull	No Change	If REF(7G)02 equals 'A13' or 'API'	No Change	"RJCT-REAS-T must be populated when RJCT-REAS-C = 'A13' or 'API': " "Value of RJCT-REAS-C"	New Format*
REF(AQ)01	'AQ'	No Change	If ASI01 equals 'WQ'	No Change		New Format*
REF(AQ)02	'A', 'B', 'C', 'D', 'E', or 'T'	No Change	If REF(AQ)01 equals 'AQ'	No Change		New Format*
REF(PTC)01	'PTC'	No Change	If ASI01 equals 'WQ'	No Change		New Format*
REF(PTC)02	'01', '02' or '03'	No Change	If REF(PTC)01 equals 'PTC'	No Change		New Format*
REF(Q5)01	'Q5'	No Change		No Change		New Format*
REF(Q5)03	notNull	No Change	If REF(Q5)01 equals 'Q5'	No Change		New Format*
REF(SPL)01	'SPL'	No Change	If ASI01 equals 'WQ'	No Change		New Format*
REF(SPL)03	notNull	No Change	If REF(SPL)01 equals 'SPL'	No Change		New Format*
REF(SU)01	'SU'	No Change	If ASI01 equals 'WQ'	No Change		New Format*
REF(SU)02	'N' or 'Y'	No Change	If REF(SU)01	No Change		New Format*

<b>EDI Field</b>	<b>Allowed Value(s) - existing</b>	<b>Allowed Value(s) - new</b>	<b>Pre-Condition (existing)</b>	<b>Pre-Condition (new)</b>	<b>Error String (existing)</b>	<b>Error String (new)</b>
			<i>equals 'SU' And IF BGN07 !='TS'</i>			
REF(SU)02	'N'	<i>No Change</i>	<i>If REF(SU)01 equals 'SU' And IF BGN07 ='TS'</i>	<i>No Change</i>		<i>New Format*</i>
DTM(150)01	'150'	<i>No Change</i>	<i>If ASI01 equals 'WQ'</i>	<i>No Change</i>		<i>New Format*</i>
DTM(150)02	<i>Date expressed as CCYYMMDD</i>	<i>No Change</i>	<i>If DTM(150)01 equals '150'</i>	<i>No Change</i>		<i>New Format*</i>
NM101	'MQ'	<i>No Change</i>	<i>If ASI01 equals 'WQ'</i>	<i>No Change</i>		<i>New Format*</i>
NM102	'3'	<i>No Change</i>	<i>If NM101equals 'MQ'</i>	<i>No Change</i>		<i>New Format*</i>
NM108	'32' or '93'	<i>No Change</i>	<i>If NM101equals 'MQ'</i>	<i>No Change</i>		<i>New Format*</i>
NM109	<i>Meter Number (Alphanumeric only - Uppercase letters (A to Z) and digits (0 to9)) or, "UNMETERED" , or</i>	<i>No Change</i>	<i>If NM101equals 'MQ'</i>	<i>No Change</i>		<i>New Format*</i>

<b>EDI Field</b>	<b>Allowed Value(s) - existing</b>	<b>Allowed Value(s) - new</b>	<b>Pre-Condition (existing)</b>	<b>Pre-Condition (new)</b>	<b>Error String (existing)</b>	<b>Error String (new)</b>
	"NONE"					
REF(4P)01	'4P'	No Change	If ASI01 equals 'WQ' and NM109 does not equal 'UNMETERED' or 'NONE'	No Change		New Format*
REF(4P)02	notNull	No Change	If REF(4P)01 equals '4P'	No Change		New Format*
REF(4P)03	notNull	No Change	If REF(4P)01 equals '4P'	No Change		New Format*
REF(4P)04.C04001	'TU'	No Change	If REF(4P)01 equals '4P'	No Change		New Format*
REF(4P)04.C04002	'41', '42', '43', '51', or '71'	No Change	If REF(4P)01 equals '4P'	No Change		New Format*
REF(IX)01	'IX'	No Change	If ASI01 equals 'WQ' And If the NM109 does not equal 'UNMETERED' or 'NONE' And if the REF~MT equals 'KHxxx' or 'COMBO'	No Change		New Format*
REF(IX)02	notNull	No Change	If REF(IX)01 equals 'IX'	No Change		New Format*
REF(IX)03	notNull	No Change	If REF(IX)01 equals 'IX'	No Change		New Format*
REF(IX)04.C04001	'TU'	No Change	If REF(IX)01 equals 'IX'	No Change		New Format*

<b>EDI Field</b>	<b>Allowed Value(s) - existing</b>	<b>Allowed Value(s) - new</b>	<b>Pre-Condition (existing)</b>	<b>Pre-Condition (new)</b>	<b>Error String (existing)</b>	<b>Error String (new)</b>
REF(IX)04.C04002	'41', '42', '43', '51', or '71'	No Change	If REF(IX)01 equals 'IX'	No Change		New Format*
REF(LO)01	'LO'	No Change	If ASI01 equals 'WQ'	No Change		New Format*
REF(LO)02	notNull	No Change	If REF(LO)01 equals 'LO'	No Change		New Format*
REF(MT)01	'MT'	No Change	If ASI01 equals 'WQ' and NM109 does not equal 'UNMETERED' or 'NONE'	No Change		New Format*
REF(MT)02	notNull	No Change	If REF(MT)01 equals 'MT'	No Change		New Format*
REF(NH)01	'NH'	No Change	If ASI01 equals 'WQ' and NM109 does not equal 'NONE'	No Change		New Format*
REF(NH)02	notNull	No Change	If REF(NH)01 equals 'NH'	No Change		New Format*
REF(PR)01	'PR'	No Change	If ASI01 equals 'WQ' and NM109 does not equal 'NONE'	No Change		New Format*
REF(PR)02	notNull	No Change	If REF(PR)01 equals 'PR'	No Change		New Format*
REF(PRT)01	'PRT'	No Change	If ASI01 equals 'WQ' and NM109 equals 'UNMETERED'	No Change		New Format*

<b>EDI Field</b>	<b>Allowed Value(s) - existing</b>	<b>Allowed Value(s) - new</b>	<b>Pre-Condition (existing)</b>	<b>Pre-Condition (new)</b>	<b>Error String (existing)</b>	<b>Error String (new)</b>
REF(PRT)02	'AN', 'AR', 'BB', 'BS', 'CU', 'ED', 'FL', 'HA', 'IN', 'LV', 'MH', 'MV', 'OT', 'PA', 'PB', 'PO', 'PS', 'RR', 'SD', 'TL', 'TR', 'WM' or 'WS'	No Change	If REF(PRT)01 equals PRT	No Change		New Format*
REF(PRT)03		Null Or if notNull... see TxSet comments	If REF(PRT)01 equals PRT	No Change		New Format*
REF(PRT)04.C 04001	'QQ'	No Change	If REF(PRT)01 equals PRT	No Change		New Format*
REF(PRT)04.C 04002	notNull	No Change	If REF(PRT)01 equals PRT	No Change		New Format*
REF(TZ)01	'TZ'	No Change	If ASI01 equals 'WQ' and NM108 equals '32' or '93'	No Change		New Format*
REF(TZ)01	'TZ'	No Change	If ASI01 equals 'WQ' and NM108 equals '32' and DTM~313 does not exist	No Change		New Format*
REF(TZ)02	Must be numeric and only two digits	No Change	If REF(TZ)01 equals 'TZ'	No Change		New Format*
DTM(313)01	'313'	No Change	If ASI01 equals 'WQ' and NM108 equals '32'	No Change		New Format*

EDI Field	Allowed Value(s) - existing	Allowed Value(s) - new	Pre-Condition (existing)	Pre-Condition (new)	Error String (existing)	Error String (new)
			<i>(note: REF~TZ does not exist)</i>			
DTM(313)05	'DD'	No Change	If DTM(313)01 equals '313'	No Change		New Format*
DTM(313)06	DD	No Change	If DTM(313)01 equals '313'	No Change		New Format*

## APPENDIX A.

### New Error Format:

#### *Descriptions*

Error messages will be in one of the following four formats.

#### **Invalid Data:**

“Error at” <TXSET Loop> + <TXSET Ref Des.> + <[TXSET Data Element]> + <TXSET Segment Qualifier> + “Invalid Data =” <data>

#### **Example:**

Error at LIN NM02[89] SJ Invalid data = #\$\$~~.

#### **Data Length:**

“Error at” <TXSET Loop> + <TXSET Ref Des.> + <[TXSET Data Element]> + <TXSET Segment Qualifier> + “Invalid Data Length =” <number>

#### **Example:**

Error at LIN NM02[89] SJ “Invalid data length =” 18

#### **Data Type:**

“Error at” <TXSET Loop> + <TXSET Ref Des.> + <[TXSET Data Element]> + <TXSET Segment Qualifier> + “Invalid Data Type =” <datatype>

**Example:**

Error at LIN NM02[89] SJ “Invalid data type =” Alpha-Numeric

***IF Reject Code = API***

**Missing Data:**

“Error at” <TXSET Loop> + <TXSET Ref Des.> + <[TXSET Data Element]> + <TXSET Segment Qualifier> + “Data Missing from field”

**Example:**

Error at LIN NM02[89] SJ “Data missing from field”

**APPENDIX B.**

**Batching:**

All transactions of the same type (Registrations, RevenueManagement, or ServiceOrders), with the exception of 867 IDRs, that are being sent to the same Trading Partner (TP) will be batched together into a single Interchange and Group when the outbound EDI is created.

For example, all 650-02s and 650-04s being sent to ACN Energy will be batched together in one Interchange and all 810-02s going to ACN Energy will be batched in a separate Interchange. Similarly, all 814-04/09/13/25/27/28 being sent to ERCOT will be combined in a single EDI Interchange and 867-02/03/04 NonIDR will be batched in a separate EDI Interchange.

All 867 IDR transactions will be scheduled and sent out with the same interval as all other ERCOT-bound transactions, however each 867 IDR transaction will receive its own Interchange and will not be batched together.

As requested, a separate polling process has been setup that will allow the processing of 814-20 Updates to be manually started and stopped. When this polling process is turned on, the 814-20 Updates will be processed and batched together. When the polling process is stopped, 814-20 Updates will still be received from LCIS but they will not be published to BC or sent out to the market. Note: Because 814-20 Updates are sent through this separate process, they will not be batched together with the 814-20 Additions, 814-20 Deletions, or other Registration transactions.

**APPENDIX C.**

**Control Numbers (ISA Control Number):**

The decision was made to find the Trading Partner with the highest control number and add 10,000. Apply that number as the new control number for all Trading Partners.